



Page 1 of 1

FORM PTO-1449	SERIAL NO. 09/771,277	ATTORNEY DOCKET NO. 4250.2.6	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE January 26, 2001	GROUP ART UNIT	
(use several sheets if necessary)	APPLICANT(S):  José A. Olivares et al.		

### REFERENCE DESIGNATION

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
110	A1	5,284,559	02/08/94	Lim et al.	204/182.8	06/16/92
400	A2	5,151,165	09/29/92	Huynh	204/299 R	<b>64</b> /25/90
	А3	4,964,961	10/23/90	Brautigam et al.	204/18208	<b>8</b> /02/89
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### **NON-PATENT DOCUMENTS**

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NON-PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT (Including Author, Title, Source, and Pertinent Pages					
All	A4	Laser-Induced Fluorescence Detection by Liquid Core Waveguiding Applied to DNA Sequencing by Capillary Electrophoresis", A. Hanning et al., <i>Analytical Chemistry</i> , Vol. 72, No. 15, August 1, 2000, pp. 3423-3430.					
	A5	"Small-Volume Raman Spectroscopy with a Liquid Core Waveguide", M. Holtz et al., Analytical Chemistry, Vol. 71, No. 14, July 15, 1999, pp. 2934-2938.					
144	A6	"Transversely Illuminated Liquid Core Waveguide Based Fluorescence Detection Fluorometric Flow Injection Determination of Aqueous Ammonium/Ammonia", J. Li et al., Talanta 50, 1999, pp. 617-623.					
M	A7	"Chemiluminescence Detection with a Liquid Core Waveguide Determination of Ammonium with Electrogenerated Hypochlorite Based on the Luminol-Hypochlorite Reaction", J. Li et al., <i>Analytical Chimica ACTA</i> 398, 1999, pp. 33-39.					
Low	A8	"Luminescence Detection with a Liquid Core Waveguide", P. Dasgupta et al., Analytical Chemistry, Vol. 71, No. 7, April 1999, pp. 1400-1407.					
Hb	A9	"Multi-Capillary Optical Waveguides for DNA Sequencing", M. Quesada et al., Electrophoresis, 1998, pp. 1415-1427.					

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Hell	/	A10	"Time and Wavelength-Resolved Fluorescence Detection for Capillary Zone Electrophoresis with Axial Excitation", A. Fultz et al., <i>Microchemical Journal</i> , 57, 1997, pp. 231-244.
JA	d a	A11	"An Electro-Osmotic Flow System with Integrated Planar Optical Waveguide Sensing", J. Leeney et al., Sensors and Actuators B Chemical, 1997, pp. 212-217.
de		A12	"Real-Time Fluorescence Imaging of Isotachophoretic Preconcentration for Capillary Electrophoresis", J. Johansson et al., <i>Analytical Chemistry</i> , Vol. 68, No. 17, September 1, 1996, pp. 2766-2770.
J.A.		A13	"Postelectrophoresis Capillary Scanning Method for DNA Sequencing", S. Kim et al., Analytical Chemistry, Vol. 68, No. 5, March 1, 1996, pp. 936-939.
J/4/		A14	"Kinetics of the Interaction Between DNA and the Type IC Restriction Enzyme <i>Eco</i> R123II", J. Ramsden et al., <i>Biochemistry</i> , Vol. 35, 1996, pp. 3746-3753.
HI,		A15	"Spacial-Scanning Laser Fluorescence Detection for Capillary Electrophoresis", S. Beale et al., <i>Analytical Chemistry</i> , Vol. 67, No. 18, September 15, 1995, pp. 3367-3371.
M	/	A16	"Real-Time Fluorescence Imaging of Capillary Electrophoresis", S. Nilsson et al., J. Cap. Elec., 1995, pp. 46-52.
P		A17	"Imaging Detection Methods for Capillary Isoelectric Focusing", J. Wu et al., American Laboratory, October 1994, pp. 48-52.

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4250.2.6

GROUP ART UNIT
175,3

(use several sheets if necessary)

José A. Olivares et al.

# **REFERENCE DESIGNATION**

#### **FOREIGN PATENT DOCUMENTS**

EXAMINER		DOCUMENT			CLASS/	TRANS	LATION	
INITIAL		NUMBER	DATE	COUNTRY	SUBCLASS	YES	NO	
W	A1	10-19846	01/23/1998	Japan			x	
24/1	A2	WO 99/39192	08/05/1999	WIPO			x	
HVV	А3	WO 00/04371	01/27/2000	WIPO			х	
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PTO/SB/08A (10-01) Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
to respond to a collection of information unless it contains a valid OMB control number.

ORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 09/771,277 Filing Date January 26, 2001 Jose' A. Olivares First Named Inventor Group Art Unit <del>2878</del> 1753 J. STARSIA Examiner Name

(use as many sheets as necessary)

4250.2.6 Sheet of Attorney Docket Number

U.S. PATENT DOCUMENTS							
Examiner a		Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevan		
Initials *		Number - Kind Code² (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear		
-4/ Wh	U1	US-6,042,708	03/28/2000	Nakanishi et al.			
TIKIN,	U2	US-5,699,157	12/16/1997	Parce			
( <i>VII)</i>	U3	US-5,694,215	12/02/1997	Carver			
WAR	U4	US-5,627,643	05/06/1997	Birnbaum et al.			
1/1	U5	US-5,395,502	03/07/1995	Pawliszyn			

			<i>1</i>		
Examiner Signature	to m	A Stand P	Date Consider	ed 23	APRIC 2003

EXAMINER: Initial if reference considered, whether or not chain is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional) . <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.